Information Required For CDL Driver Qualification Files

The following items are required in the DQ files for CDL Drivers:

- 1. Application for Employment (§391.21)
- 2. Safety Performance History Records Request (§40.25 and §391.23)
- 3. Motor Vehicle Record (MVR) from the state of the driver's current license and any appropriate state(s) going back 3 years of hire date (§391.23)
- 4. Motor Vehicle Report Release (A general release is required for all states except NH, PA, and WA. These states have state specific releases)
- 5. Medical exam certificate (§391.43 (g))
- 6. Medical Examiner's National Registry Verification (§391.23 and §391.51)
- 7. Copy of Driver's License
- 8. Copy of D.O.T Drug screen
- 9. Copy of D.O.T Truck inspection
- 10. Copy of Social Security card
- 11. Copy of CAB card if any
- 12. Copy of insurance if any

CDL Drivers: Drivers holding a CDL-class license and regularly or occasionally operating vehicles meeting any one of the following criteria:

- Has a gross vehicle weight rating of more than 26,000 pounds
- Has a gross combination weight rating of more than 26,000 pounds inclusive of a towed unit with a gross vehicle weight rating of more than 10,000 pounds
- Is designed to transport 16 or more passengers, including the driver
- Is any size, transporting hazardous materials requiring placards

These drivers are required to maintain Driver Qualification Files



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DRIVER'S LICENSE INFORMATION REQUEST

Company Name:	
Location Name:	
Employee Name:	Employee Code:

FILE COPY – DRIVER'S LICENSE MISSING

Please provide J. J. Keller with a clear photocopy of the front and back of an updated license in the space below:

In addition to the driver's license copy above, please complete the following information exactly as it appears on the driver's license:

First Name:	Middle Name:
Last Name:	Date of Birth:
Driver's License Number:	
State of License/Province:	Expiration Date:
Issue Date: Endorsement:	Class: CDL 🗌 Non CDL
Company Representative Signature:	

DRIVER APPLICATION

Company Name	:	Lo	ocation: Region/Di	strict/Branch: _		
Company Addre						
	Street	City		State		Zip
of investigating n Review info Have errors prospective Have a rebuilt information.	ny safety performance his prmation provided by curre s in the information correct e employer; and uttal statement attached to	TO BE READ AND SIGN arding current and/or previous employ tory as required by 49 CFR 391.23(d) ant/previous employers; and by previous employers and for tho o the alleged erroneous information if the	vers may be used, an and (e). I understar se previous employe the previous employe	d those employe d that I have the rs to re-send the er(s) and I cannol	rìght to: corrected i agree on f	nformation to the
Name:						
	Last	First				Middle
Social Securi	ity Number	Phone Number	Date of Birth	1		Hire Date
Address:						
	Street	City	Stat	e Zi	p	Number of Years
Past 3 Year Residency:	Street	City	Stat	e Zi	p	Number of Years
-	Street	City	Stat	e Zi	n	Number of Years
employment reco You are required Current or Last Street Address Position Held: Reasons for Le Were you subj Was your job d of 49 CFR Part	ord). I to list the complete mailin t Employer Name: s: eaving: ect to the FMCSRs** w lesignated as a safety-s t 40: Yes No	s for whom you have driven a commen ng address: street number and name, City: City: From: From: hile employed: ☐ Yes ☐ No sensitive function in any DOT-region N JOBS – Include dates (month/yet	city, state and zip co P (month/year) ulated mode subje	de. none #: (State: To To) Zip: p:	(month/year)
	mployer Name:	· · · · · ·		hone #: ()	
		City:				
FOSILION HEIG.		From:	(month/year)	10		(month/year)
Were you subj Was your job d 49 CFR Part 40 *ACCOUNT FC	lesignated as a safety-: 0: Yes No <u>OR PERIOD BETWEEI</u>	hile employed:	ear) and reason: _	-		
		City:				
Position Held:		From:	(month/year)	Тс):	(month/year)
Was your job d 49 CFR Part 40 *ACCOUNT FC	ect to the FMCSRs** w lesignated as a safety-s 0: Yes No OR PERIOD BETWEE! oyment and/or unemployr		ear) and reason: _			
		1 lbs. or more, vehicles designed to tr juantity requiring placarding.	ansport 16 or more p	assengers (inclu	ding the dr	iver), or any size vehicle

**The Federal Motor Carrier Safety Regulations (FMCSRs) apply to anyone operating a motor vehicle on a highway in interstate commerce to transport passengers or property when the vehicle: (1) weighs or has a GVWR of 10,001 pounds or more, (2) is designed or used to transport 9 or more passengers, OR (3) is of any size and is used to transport hazardous materials in a quantity requiring placarding.

USE THIS SHEET FOR ADDITIONAL EMPLOYMENT HISTORY INFORMATION

FOURTH LAST EMPLOYER: Name		Phone Number ()
Street Address	City	State	Zip
Position Held	From	Τα	
Reasons for Leaving		(month/year)	(month/year)
Reasons for Leaving			
	y negulations while emp		
Was your job designated as a safety-sensitive function	ion in any DOT-regulated	mode subject to the drug	g and alcohol testing
*ACCOUNT FOR PERIOD BETWEEN JOBS - Include dates			
FIFTH LAST EMPLOYER: Name		Phone Number ()
Street Address	City	State	Zip
Position Held	From	To)(month(voor)
Reasons for Leaving		(month/year)	(monur/year)
Were you subject to the Federal Motor Carrier Safet			
Was your job designated as a safety-sensitive function			
requirements of 49 CFR Part 40? Yes No			g and alcohor testing
*ACCOUNT FOR PERIOD BETWEEN JOBS - Include dates			
SIXTH LAST EMPLOYER: Name			
Street Address			
Bosition Hold			zip
Position Held		(month/year)	(month/year)
Reasons for Leaving			
Were you subject to the Federal Motor Carrier Safet	y Regulations** while emp	oloyed? 🛛 Yes 🖾 No)
Was your job designated as a safety-sensitive functi	ion in any DOT-regulated	mode subject to the drug	g and alcohol testing
requirements of 49 CFR Part 40?			
*ACCOUNT FOR PERIOD BETWEEN JOBS - Include dates			
SEVENTH LAST EMPLOYER: Name		Phone Number ()
		-	-
Street Address Position Held	From	Τα	' D
		(month/year)	(month/year)
Reasons for Leaving			
Were you subject to the Federal Motor Carrier Safet			
Was your job designated as a safety-sensitive function		mode subject to the drug	g and alcohol testing
requirements of 49 CFR Part 40?			
*ACCOUNT FOR PERIOD BETWEEN JOBS - Include dates			
EIGHTH LAST EMPLOYER: Name			
Street Address	City	State	Zip
Position Held	From	Τα	D
		(month/year)	(month/year)
Reasons for Leaving Were you subject to the Federal Motor Carrier Safet	y Regulatione** while omr		
Was your job designated as a safety-sensitive function	ion in any DOT-regulated	mode subject to the drug	, a and alcohol testing
requirements of 49 CFR Part 40?			g and alcohor testing
*ACCOUNT FOR PERIOD BETWEEN JOBS - Include dates			
NINTH LAST EMPLOYER: Name Street Address	O #4)
Street Address			ZIP
Position Held	FI0III	(month/year)	(month/year)
Reasons for Leaving			
Were you subject to the Federal Motor Carrier Safet		oloved? 🗌 Yes 🔲 No)
Was your job designated as a safety-sensitive function			
requirements of 49 CFR Part 40?		-	
*ACCOUNT FOR PERIOD BETWEEN JOBS - Include dates	(month/year) and reason		
TENTH LAST EMPLOYER: Name			
Street Address			
Position Held	From	U.u.u Tr	; <u>r</u> ,
Position Held		(month/year)	(month/year)
Reasons for Leaving			
Were you subject to the Federal Motor Carrier Safet	y Regulations** while emp		
Was your job designated as a safety-sensitive function	ion in any DOT-regulated	mode subject to the drug	g and alcohol testing
requirements of 49 CFR Part 40?			
*ACCOUNT FOR PERIOD BETWEEN JOBS - Include dates	(month/year) and reason		
*Any gaps in employment and/or unemployment must be explained.			
**The Federal Motor Carrier Safety Regulations apply to anyone operating a mot	or vehicle on a highway in interet	ate commerce to transport pas	senders or property when the vehicle.

1 ne Hederal Motor Carrier Safety Regulations apply to anyone operating a motor vehicle on a highway in interstate commerce to transport passengers or property when the vehicle: (1) weighs or has a GVWR of 10,001 pounds or more, (2) is designed or used to transport more than 8 passengers (including the driver) for compensation; or (3) is designed or used to transport more than 15 passengers, including the driver, and is not used to transport passengers for compensation; or (4) is of any size and is used to transport hazardous materials in a quantity requiring placarding.

EXPERIENCE AND QUALIFICATION

Attach separate sheet if more space is needed.

Driving Experience

If no driving experience in the last 3 years, check here:

CLASS OF EQUIPMENT	TYPE OF EQUIPMENT	DA ⁻ FROM	TES TO		APPROXIMATE NUMBER OF MILES
Straight Truck	Van Reefer Tank Flat				
Tractor & Semi-Trailer	Van Reefer Tank Flat			OR	
Tractor – Two Trailers	Van Reefer Tank Flat			UR	
Tractor – Three Trailers	Van Reefer Tank Flat				
Motorcoach - School Bus (Greater than 8 passengers)	N/A				
Motorcoach - School Bus (Greater than 15 passengers)	N/A				
Other:	Van Reefer Tank Flat				

Accident History (3 years)

If no driving experience in the last 3 years, check here:

DATE (Month/Year)	NATURE OF ACCIDENT (head-on, rear end, upset, etc.)	NUMBER OF FATALITIES	NUMBER OF INJURIES	HAZARDOUS MATERIALS SPILL
				🗌 Yes 🗌 No
				🗌 Yes 🗌 No
				🗌 Yes 🗌 No

Traffic Convictions and Forfeitures (3 years)

If no traffic convictions and/or forfeitures in the last 3 years, check here:

DATE CONVICTED (Month/Year)	VIOLATION (other than violations involving parking only)	STATE OF VIOLATION	PENALTY

License Information

		n who operates a commercial motor vehicle shall at an than one motor vehicle license, the information for wh	
	State	License Number	Expiration Date
А.	Have you ever been denied a lic If yes, give details:	ense, permit, or privilege to operate a motor vehicle:	Yes No
В.	Has any license, permit or privile If yes, give details:	ge ever been suspended or revoked: Yes No)
Applica	nt Certification		

This certifies that this application was completed by me, and that all entries on it and information in it are true and complete to the best of my knowledge.

Applicant's Signature

Date

This form is made available with the understanding that J. J. Keller & Associates, Inc. is not engaged in rendering legal, accounting, or other professional services. J. J. Keller & Associates, Inc. assumes no responsibility for the use of this form, or any decision made by an employer which may violate local, state, or federal law.

SIDE 1 SAFETY PERFORMANCE HISTORY RECORDS REQUEST

RECIPIENT EMPLOYER: The individual identified in SECTION 1 below has indicated that you employ(ed) or use(d) him/her within the last 3 years in a position that involved the operation of a commercial motor vehicle and/or that was subject to U.S. Department of Transportation (DOT)-regulated drug and alcohol testing.

In accordance with 49 CFR §§40.25 and 391.23, we are hereby requesting that you supply us with the Safety Performance History of this individual. **Under DOT rule §391.23(g)**, you *must* respond to this inquiry within 30 days of receipt.

Please complete SECTIONS 2 through 4 (as applicable) and return to the prospective employer shown in SECTION 1.

APPLICANT: Complete SECTION 1 and submit to prospective employer.

PROSPECTIVE EMPLOYER: Complete SECTION 5a and send form to current/previous employer. Upon receipt of completed form, complete SECTION 5b and retain.

SECTION 1: TO BE COMPLETED BY PROSPECTIVE EMPLOYEE I, (Print Name) First, M.I., Last Social Security Number hereby authorize: Date of Birth Previous Employer: Email: Street: Telephone: ___ Fax No.: City, State, Zip: to release and forward the information requested by section 4 of this document concerning my Alcohol and Controlled Substances Testing records within the previous 3 years from (date of employment application) To: Prospective Employer: _____ _____ Telephone: _____ Attention: Street: City, State, Zip: In compliance with §40.25(g) and 391.23(h), release of this information must be made in a written form that ensures confidentiality, such as fax, email, or letter. Prospective employer's confidential fax number: ____ Prospective employer's confidential email address: Applicant's Signature Date

SECTION 2:	
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TO BE COMPLETED BY PREVIOUS EMPLOYER

		EMPLC	YMENT VERIFICAT	ION		
• •		• •	l by us. Yes □ No □ n (m/y)		(m/y)	
		•		•	Tractor-Semitrailer	
Company:						
Street:						
City, State, Zip:				Tele	phone:	
Signature:					Date:	
If there is no safety before returning.	performance his	tory to report, check	k here 🗆 and return.	Otherwise, comple	te Sections 3 and 4 on	SIDE 2

SIDE 2	Employee Name:	Date:
SECTION 3:	TO BE COMPLETED BY P	REVIOUS EMPLOYER
	ACCIDENT HI	STORY
	following for any accidents included on your accid the application date shown on SIDE 1 or check here	ent register (§390.15(b)) that involved the applicant in the \Box if there is no accident register data for this driver.
Date	Location	No. of Injuries No. of Fatalities Hazmat Spill
Please provide	information concerning any other commercial motor	vehicle accidents involving the applicant that were reported
to government	•	any policies:
SECTION 4:	TO BE COMPLETED BY P	REVIOUS EMPLOYER
	DRUG AND ALCOH	OL HISTORY
If applicant was	not subject to DOT testing requirements under 49 CFR Pa	rt 40 while employed by you, please check here \Box and return.
	bject to DOT testing requirements from to	
	se questions, include any required DOT drug or alcohol tes cation date shown on SIDE 1.	sting information you obtained from other employers in the 3 years
Within the past 3	Byears from the application date shown on SIDE 1:	YES NO
1. Has this perso	n violated any of the drug and/or alcohol prohibitions under 49	CFR Part 40 or Subpart B of Part 382, including:
 A controlle A refusal to Alcohol us Alcohol us 	test with a result of 0.04 or higher alcohol concentration. d substances test result of positive, adulterated, or substitu o submit to a random, post-accident, reasonable-suspicion, e while performing or within 4 hours before performing safe e after an accident, in violation of §382.303. substances use while on duty, except as allowed under §3	or follow-up controlled substances or alcohol test. ty-sensitive functions.
2. If this person prescribed by	violated a DOT drug and/or alcohol prohibition, did he/she a Substance Abuse Professional (SAP)? If rehabilitation w such a program, check here .	ail to begin or complete a rehabilitation program
	successfully completed a SAP's rehabilitation referral and r have an alcohol test result of 0.04 or greater, a verified pos	
SECTION 5a:	TO BE COMPLETED BY PRO	SPECTIVE EMPLOYER
This form was (o	heck one)	led Emailed Other
Bv:		Date:
SECTION 5b:	TO BE COMPLETED BY PRC	SPECTIVE EMPLOYER
Complete below	when information is obtained.	
Information rece	ived from:	
Date:		Other

ACKNOWLEDGMENT AND AUTHORIZATION FOR BACKGROUND INVESTIGATION

I acknowledge receipt of the separate document entitled DISCLOSURE REGARDING BACKGROUND INVESTIGATION, DISCLOSURE REGARDING INVESTIGATIVE BACKGROUND INVESTIGATION and A SUMMARY OF YOUR RIGHTS UNDER THE FAIR CREDIT REPORTING ACT and additional state/city-specific notices and Summary of Rights and certify that I have read and understand those documents. I hereby authorize the evaluation of my driver file by J. J. Keller & Associates, Inc. for compliance with state and federal laws and the acquisition of "consumer reports" (i.e., driving records, criminal history, social security verification, and/or education history) and/or "investigative consumer reports" (i.e., employment and/or education verification) by **the Employer** (as listed below) at any time after receipt of this authorization and throughout my employment, if applicable. In addition, I hereby authorize any law enforcement agency, administrator, state or federal agency, institution, school or university (public or private), information service bureau, current and past employer, or insurance company to furnish any and all background information requested by J. J. Keller & Associates, Inc., PO Box 368, Neenah, WI 54957-0368, (877)-564-2333, <u>www.ijkeller.com</u>, and/or Employer itself. I agree that a facsimile ("fax"), electronic or photographic copy of this Authorization shall be as valid as the original.

<u>New York applicants only:</u> Upon request, you will be informed whether or not a consumer report was requested by the Company, and if such report was requested, informed of the name and address of the consumer reporting agency that furnished the report. You have the right to inspect and receive a copy of any investigative consumer report requested by the Company by contacting the consumer reporting agency identified above directly or by checking this box. By signing below, you acknowledge receipt of Article 23-A of the New York Correction Law.

New York City applicants only: By signing this form, you further authorize the Company to provide you with a copy of your consumer report, the New York City Fair Chance Act Notice form, and any other documents, to the extent required by law, at the mailing address and/or email address you provide to the Company.

Washington State applicants only: You also have the right to request from the consumer reporting agency a written summary of your rights and remedies under the Washington Fair Credit Reporting Act.

Minnesota and Oklahoma applicants only: Please check this box if you would like to receive a copy of a consumer report if one is obtained by the Company.

California applicants only: Please check this box if you would like to receive a copy of an investigative consumer report at no charge if one is obtained by the Company whenever you have a right to receive such a copy under California law as stated in the Notice Regarding Background Checks per California Law you received.

Note to Residents of New Hampshire, Pennsylvania, Washington, Puerto Rico, and Canadian Provinces — British Columbia, Manitoba, New Brunswick, Newfoundland & Labrador, Northwest Territories, Nunavut, Prince Edward Islands, Quebec, Saskatchewan, and Yukon: State specific or Canadian general motor vehicle release forms must be completed and signed prior to obtaining the reports.

Signature*		Date*	
Company Name*			(MM/DD/YY)
BACKGROUND INFORMATION			
Last Name*	First*		Middle*
Social Security #		Date of Birth*	

*Required Information

This document should NOT be construed as legal advice, guidance or counsel. Employers should consult their own attorney about their compliance responsibilities under the FCRA and applicable state law. J. J. Keller & Associates, Inc., expressly disclaims any warranties or responsibility or damages associated with or arising out of information provided. Employers seeking credit reports must provide additional notices pursuant to state law.

Motor Carrier's MEDICAL EXAMINER NATIONAL REGISTRY VERIFICATION

MOTOR CARRIER INSTRUCTIONS: For each Medical Examiner's Certificate issued to a commercial motor vehicle driver, the motor carrier must verify that the medical examiner who signed the driver's medical card is listed on the National Registry. This requirement is prescribed in §391.23 and §391.51.

§391.23 Investigation and inquiries. (m)(1) The motor carrier must obtain an original or copy of the medical examiner's certificate issued in accordance with §391.43, and any medical variance on which the certification is based, and, beginning on or after May 21, 2014, verify the driver was certified by a medical examiner listed on the National Registry of Certified Medical Examiners as of the date of issuance of the medical examiner's certificate, and place the records in the driver qualification file, before allowing the driver to operate a CMV.

§391.51 General requirements for driver qualification files. (b)(9)(i) For drivers not required to have a CDL, a note relating to verification of medical examiner listing on the National Registry of Certified Medical Examiners required by §391.23(m)(1). (b)(9)(ii) Until June 22, 2018, for drivers required to have a CDL, a note relating to verification of medical examiner listing on the National Registry of Certified Medical Examiners required by §391.23(m)(1). (b)(9)(ii) Until June 22, 2018, for drivers required to have a CDL, a note relating to verification of medical examiner listing on the National Registry of Certified Medical Examiners required by §391.23(m)(2).

RETENTION: This form is to be kept in the driver's qualification file for 3 years.

MOTOR CARRIER VERIFICATION: The following medical examiner has been verified as being listed on the National Registry of Certified Medical Examiners (NRCME) as of the date of issuance of the medical examiner's certificate for the named driver.

	Driver's	
Driver's Name:	Identification Number:	
	(e.g.	, driver's license, employee ID)
Expiration Date of Medical Certificate:		
Medical Examiner's Name:		
National Registry Number:		
NRCME Certification Date:		
Motor Carrier:		
Location:		
	г	
Verified By:	Date:	
Motor Carrier Representative Signature		

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